



PTO/SB/21 (02-04)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/646,897
	Filing Date	11/21/03
	First Named Inventor	WARREN M. FARNWORTH
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	01-1059.1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Return receipt postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen A. Gratton, Reg. No. 28,418 THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	
Date	March 15, 2004

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Typed or printed name	Stephen A. Gratton
Signature	
Date	3/15/2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

WARREN M. FARNWORTH
ALAN G. WOOD
TRUNG TRI DOAN

Serial No. 10/646,897

ART UNIT:

Filing Date: 11/21/2003

EXAMINER:

FOR: ENCAPSULATED SEMICONDUCTOR
COMPONENTS AND METHOD OF FABRICATION

Docket No. 01-1059.1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS**

MARCH 15, 2004

MAIL STOP NON FEE AMENDMENT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:

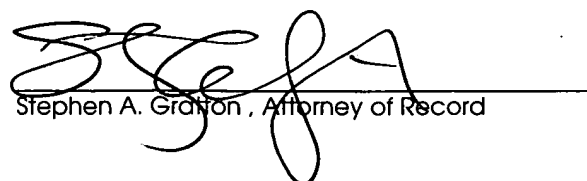

Stephen A. Gratton
Registration No. 28,418
Attorney of Record

2764 South Braun Way
Lakewood, CO 80228
Telephone: (303) 989 6353
Fax: (303) 989 6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP NON FEE AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 15th day of March, 2004.

March 15, 2004
Date of Signature


Stephen A. Gratton, Attorney of Record



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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56
March 15, 2004

MAIL STOP NON FEE AMENDMENT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:


Stephen A. Gratton, Registration No. 28,418
Attorney of Record

2764 South Braun Way
Lakewood, CO 80228
Telephone: 303 989 6353

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Stephen A. Gratton, Attorney of Record

